

12/18/01  
11131 U.S. PTOU.S. PTO  
12/18/01  
11050

**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

*(Only for new nonprovisional applications under 37 CFR 1.53(b))*

Attorney Docket No.		740819-720
First Inventor		Akihiko ISHIBASHI et al.
Title	METHOD FOR FABRICATING SEMICONDUCTOR, METHOD FOR FABRICATING SEMICONDUCTOR SUBSTRATE, AND SEMICONDUCTOR LIGHT EMITTING DEVICE	
Express Mail Label No. _____		

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) <i>(Submit an original and a duplicate for fee processing)</i>	7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)	
2. <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.	8. Nucleotide and/or Amino Acid Sequence Submission <i>(if applicable, all necessary)</i>	
3. <input checked="" type="checkbox"/> Specification [Total Pages 38] <i>(preferred arrangement set forth below)</i>	a. <input type="checkbox"/> Computer Readable Form (CRF)	
- Descriptive title of the invention	b. Specification Sequence Listing on:	
- Cross Reference to Related Applications	i. <input type="checkbox"/> CD-ROM or CD-R (2 copies; or	
- Statement Regarding Fed sponsored R & D	ii. <input type="checkbox"/> paper	
- Reference to sequence listing, a table, or a computer program listing appendix	c. <input type="checkbox"/> Statements verifying identity of above copies	
- Background of the Invention	<b>ACCOMPANYING APPLICATION PARTS</b>	
- Brief Summary of the Invention	9. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & document(s))	
- Brief Description of the Drawings ( <i>if filed</i> )	10. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input type="checkbox"/> Power of Attorney <i>(when there is an assignee)</i>	
- Detailed Description	11. <input type="checkbox"/> English Translation Document ( <i>if applicable</i> )	
- Claim(s)	12. <input checked="" type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input checked="" type="checkbox"/> Copies of IDS Citations	
- Abstract of the Disclosure	13. <input type="checkbox"/> Preliminary Amendment	
4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [ Total Sheets 8]	14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <i>(Should be specifically itemized)</i>	
5. Oath or Declaration [ Total Pages 3]	15. <input checked="" type="checkbox"/> Certified Copy of Japanese Priority Document No. 2000-386624 Filed: December 20, 2000 ( <i>if foreign priority is claimed</i> )	
a. <input checked="" type="checkbox"/> Newly executed (original or copy)	16. <input type="checkbox"/> Nonpublication request under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.	
b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) <i>(for continuation/divisional with Box 18 completed)</i>	17. <input type="checkbox"/> Other: _____	
i. <input type="checkbox"/> <u>DELETION OF INVENTOR(S)</u> Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)		
6. <input checked="" type="checkbox"/> Application Data Sheet. See 37 CFR 1.76		

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

Continuation     Divisional     Continuation-in-part (CIP)

of prior application No.: \_\_\_\_\_ / \_\_\_\_\_

Prior application information:    Examiner \_\_\_\_\_

Group / Art Unit: \_\_\_\_\_

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**19. CORRESPONDENCE ADDRESS**

<input checked="" type="checkbox"/> Customer Number or Bar Code Label	22204 <i>(Insert Customer No. or Attach bar code label here)</i>	or	<input checked="" type="checkbox"/> Correspondence address below
Name	Eric J. Robinson		
Address	NIXON PEABODY LLP 8180 Greensboro Drive, Suite 800		
City	McLean	State	VA
Country	United States	Telephone	(703) 790-9110
Zip Code	22102		Fax
			(703) 883-0370
Name (Print/Type)	Eric J. Robinson	Registration No. (Attorney/Agent)	38,285
Signature			Date
			December 18, 2001

# FEE TRANSMITTAL FOR FY 2001

Patent fees are subject to annual revision.

<b>TOTAL AMOUNT OF PAYMENT</b>	\$1,116.00	Attorney Docket No.	740819-720
--------------------------------	------------	---------------------	------------

METHOD OF PAYMENT		FEE CALCULATION (continued)																																																																																																																																																																		
<p>1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:</p> <p>Deposit Account Number <span style="border: 1px solid black; padding: 2px;">19-2380</span></p> <p>Deposit Account Name <span style="border: 1px solid black; padding: 2px;">Nixon Peabody LLP</span></p> <p><input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17</p> <p><input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27</p>		<p>3. ADDITIONAL FEES</p> <table border="1"> <thead> <tr> <th>Fee Code</th> <th>Large Entity Fee (\$)</th> <th>Small Entity Fee (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>105</td><td>130</td><td>205</td><td>65 Surcharge - late filing fee or oath</td><td></td></tr> <tr><td>127</td><td>50</td><td>227</td><td>25 Surcharge - late provisional filing fee or cover sheet</td><td></td></tr> <tr><td>139</td><td>130</td><td>139</td><td>130 Non-English transaction</td><td></td></tr> <tr><td>147</td><td>2,520</td><td>147</td><td>2,520 For filing a request for <i>ex parte</i> reexamination</td><td></td></tr> <tr><td>112</td><td>920*</td><td>112</td><td>920* Requesting publication of SIR prior to Examiner action</td><td></td></tr> <tr><td>113</td><td>1,840*</td><td>113</td><td>1,840* Requesting publication of SIR after Examiner action</td><td></td></tr> <tr><td>115</td><td>110</td><td>215</td><td>55 Extension for reply within first month</td><td></td></tr> <tr><td>116</td><td>400</td><td>200</td><td>200 Extension for reply within second month</td><td></td></tr> <tr><td>117</td><td>920</td><td>460</td><td>460 Extension for reply within third month</td><td></td></tr> <tr><td>118</td><td>1,440</td><td>720</td><td>720 Extension for reply within fourth month</td><td></td></tr> <tr><td>128</td><td>1,960</td><td>228</td><td>980 Extension for reply within fifth month</td><td></td></tr> <tr><td>119</td><td>320</td><td>219</td><td>160 Notice of Appeal</td><td></td></tr> <tr><td>120</td><td>320</td><td>220</td><td>160 Filing a brief in support of an appeal</td><td></td></tr> <tr><td>121</td><td>280</td><td>221</td><td>140 Request for oral hearing</td><td></td></tr> <tr><td>138</td><td>1,510</td><td>138</td><td>1,510 Petition to institute a public use proceeding</td><td></td></tr> <tr><td>140</td><td>110</td><td>240</td><td>55 Petition to revive - unavoidable</td><td></td></tr> <tr><td>141</td><td>1,280</td><td>241</td><td>640 Petition to revive - unintentional</td><td></td></tr> <tr><td>142</td><td>1,280</td><td>242</td><td>640 Utility issue fee (or reissue)</td><td></td></tr> <tr><td>143</td><td>460</td><td>243</td><td>230 Design issue fee</td><td></td></tr> <tr><td>144</td><td>620</td><td>244</td><td>310 Plant issue fee</td><td></td></tr> <tr><td>122</td><td>130</td><td>122</td><td>130 Petitions to the Commissioner</td><td></td></tr> <tr><td>123</td><td>50</td><td>123</td><td>50 Processing fee under 37 CFR 1.17(q)</td><td></td></tr> <tr><td>126</td><td>180</td><td>126</td><td>180 Submission of Information Disclosure Stmt</td><td></td></tr> <tr><td>581</td><td>40</td><td>581</td><td>40 Recording each patent assignment per property (times number of properties)</td><td>\$40.00</td></tr> <tr><td>146</td><td>740</td><td>246</td><td>370 Filing a submission after final rejection (37 CFR § 1.129(a))</td><td></td></tr> <tr><td>149</td><td>740</td><td>249</td><td>370 For each additional invention to be examined (37 CFR § 1.29(b))</td><td></td></tr> <tr><td>179</td><td>740</td><td>279</td><td>370 Request for Continued Examination (RCE)</td><td></td></tr> <tr><td>169</td><td>900</td><td>169</td><td>900 Request for expedited examination of a design application</td><td></td></tr> <tr><td colspan="3">Other fee (specify) _____</td><td></td><td></td></tr> <tr> <td colspan="3">* Reduced by Basic Filing Fee Paid</td> <td><b>SUBTOTAL (3)</b></td> <td>\$40.00</td> </tr> <tr> <td colspan="5">**or number previously paid, if greater; For Reissues, see above</td> </tr> </tbody> </table>			Fee Code	Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description	Fee Paid	105	130	205	65 Surcharge - late filing fee or oath		127	50	227	25 Surcharge - late provisional filing fee or cover sheet		139	130	139	130 Non-English transaction		147	2,520	147	2,520 For filing a request for <i>ex parte</i> reexamination		112	920*	112	920* Requesting publication of SIR prior to Examiner action		113	1,840*	113	1,840* Requesting publication of SIR after Examiner action		115	110	215	55 Extension for reply within first month		116	400	200	200 Extension for reply within second month		117	920	460	460 Extension for reply within third month		118	1,440	720	720 Extension for reply within fourth month		128	1,960	228	980 Extension for reply within fifth month		119	320	219	160 Notice of Appeal		120	320	220	160 Filing a brief in support of an appeal		121	280	221	140 Request for oral hearing		138	1,510	138	1,510 Petition to institute a public use proceeding		140	110	240	55 Petition to revive - unavoidable		141	1,280	241	640 Petition to revive - unintentional		142	1,280	242	640 Utility issue fee (or reissue)		143	460	243	230 Design issue fee		144	620	244	310 Plant issue fee		122	130	122	130 Petitions to the Commissioner		123	50	123	50 Processing fee under 37 CFR 1.17(q)		126	180	126	180 Submission of Information Disclosure Stmt		581	40	581	40 Recording each patent assignment per property (times number of properties)	\$40.00	146	740	246	370 Filing a submission after final rejection (37 CFR § 1.129(a))		149	740	249	370 For each additional invention to be examined (37 CFR § 1.29(b))		179	740	279	370 Request for Continued Examination (RCE)		169	900	169	900 Request for expedited examination of a design application		Other fee (specify) _____					* Reduced by Basic Filing Fee Paid			<b>SUBTOTAL (3)</b>	\$40.00	**or number previously paid, if greater; For Reissues, see above				
Fee Code	Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description	Fee Paid																																																																																																																																																																
105	130	205	65 Surcharge - late filing fee or oath																																																																																																																																																																	
127	50	227	25 Surcharge - late provisional filing fee or cover sheet																																																																																																																																																																	
139	130	139	130 Non-English transaction																																																																																																																																																																	
147	2,520	147	2,520 For filing a request for <i>ex parte</i> reexamination																																																																																																																																																																	
112	920*	112	920* Requesting publication of SIR prior to Examiner action																																																																																																																																																																	
113	1,840*	113	1,840* Requesting publication of SIR after Examiner action																																																																																																																																																																	
115	110	215	55 Extension for reply within first month																																																																																																																																																																	
116	400	200	200 Extension for reply within second month																																																																																																																																																																	
117	920	460	460 Extension for reply within third month																																																																																																																																																																	
118	1,440	720	720 Extension for reply within fourth month																																																																																																																																																																	
128	1,960	228	980 Extension for reply within fifth month																																																																																																																																																																	
119	320	219	160 Notice of Appeal																																																																																																																																																																	
120	320	220	160 Filing a brief in support of an appeal																																																																																																																																																																	
121	280	221	140 Request for oral hearing																																																																																																																																																																	
138	1,510	138	1,510 Petition to institute a public use proceeding																																																																																																																																																																	
140	110	240	55 Petition to revive - unavoidable																																																																																																																																																																	
141	1,280	241	640 Petition to revive - unintentional																																																																																																																																																																	
142	1,280	242	640 Utility issue fee (or reissue)																																																																																																																																																																	
143	460	243	230 Design issue fee																																																																																																																																																																	
144	620	244	310 Plant issue fee																																																																																																																																																																	
122	130	122	130 Petitions to the Commissioner																																																																																																																																																																	
123	50	123	50 Processing fee under 37 CFR 1.17(q)																																																																																																																																																																	
126	180	126	180 Submission of Information Disclosure Stmt																																																																																																																																																																	
581	40	581	40 Recording each patent assignment per property (times number of properties)	\$40.00																																																																																																																																																																
146	740	246	370 Filing a submission after final rejection (37 CFR § 1.129(a))																																																																																																																																																																	
149	740	249	370 For each additional invention to be examined (37 CFR § 1.29(b))																																																																																																																																																																	
179	740	279	370 Request for Continued Examination (RCE)																																																																																																																																																																	
169	900	169	900 Request for expedited examination of a design application																																																																																																																																																																	
Other fee (specify) _____																																																																																																																																																																				
* Reduced by Basic Filing Fee Paid			<b>SUBTOTAL (3)</b>	\$40.00																																																																																																																																																																
**or number previously paid, if greater; For Reissues, see above																																																																																																																																																																				

SUBMITTED BY		Complete (if applicable)		
Name (Print/Type)	Eric J. Robinson	Registration No. (Attorney/Agent)	38,286	Telephone (703) 790-9110
Signature			Date	December 18, 2001